

Commission & Fee Schedule 佣金及收費表

Trade-Related Services 證券交易服務 - Hong Kong Shares 香港股票

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Commission 佣金	0.25%	HK\$100	-
Transaction Levy 交易徵費	0.0027%	-	-
Trading Fee 交易費	0.005%	-	-
Stamp Duty 印花稅	0.13%	HK\$1.00	-
CCASS Stock Settlement Fee 中央結算系統股份交收費	0.01%	HK\$3.00	HK\$300.00
Financial Reporting Council Transaction Levy 財務匯報局交易徵費	0.00015%	-	-

Scrip Handling & Settlement-Related Services 處理實物股票及交收服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Physical Scrip Deposit Fee 存入實物股票費	\$5.00 Per Transfer Deed 每張轉手紙\$5.00	-	-
Physical Scrip Withdrawal Fee 提取實物股票費 *	\$10.00 Per Board Lot 每手\$10.00 * If there is no transaction after the Physical Scrip Deposit or Receipt of the securities by Settlement Instruction, Extra Handling Fee will be charged while Physical Scrip Withdrawal Instruction. 如客戶從實物或交收指示轉倉存入股票後,沒有任何交易下而提取該批實物股票,本公司將會收取額外手續費用。	HK\$100.00	-
Money Settlement Fee 款項交收費	Free 免費	-	-
Deposit Transaction Charge 存倉費	Free 免費	-	-
Settlement Instruction Fee - Receipt 交收指示費(轉倉存入)	Free 免費	-	-
Settlement Instruction Fee - Delivery 交收指示費(轉倉撥出) *	1. CCASS Fee: 0.01% of Each Stock Value of the Previous Closing Price 中央結算手續費: 以上日收市價計算每隻股票總值之0.01% 2. Handling Fee: \$200.00 Per Transaction 手續費: 每宗交易\$200.00 * If there is no transaction or small amount of transaction after the Physical Scrip Deposit or Receipt of the securities by Settlement Instruction, Extra Handling Fee will be charged while Delivery of the securities by Settlement Instruction. 如客戶從實物或交收指示轉倉存入股票後,沒有任何交易或只有少量交易下而交收指示轉倉撥出該批股票,本公司將會收取額外手續費用。	HK\$3.00 -	- -
Investor Settlement Instruction Fee - Receipt 投資者交收指示費(轉倉存入)	Free 免費	-	-
Investor Settlement Instruction Fee - Delivery 投資者交收指示費(轉倉撥出)	Handling Fee: \$5 Per Transaction 手續費: 每宗交易\$5	-	-
Compulsory Share Buy-back Fee 強制性補購股份費	Default Fee: 0.50% of Stock Value of the Previous Closing Price, Plus Handling Charges of \$200, (Applicable on Early / Compulsory Buy-in) 失責罰金: 相等於未能如期交付交易市值之0.50%, 另加手續費\$200 (同時適用於提早或強制性補購)	HK\$100.00	-

Remarks: The above charges are subject to revision from time to time without prior notice.
備註: 以上收費僅供參考, 本公司可隨時修訂, 恕不另行通知。

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Account Maintenance 賬戶服務

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Custody Fee 託管費	Free免費	-	-
Stock Balance or Account Confirmation Fee 證券結餘或賬戶證明書費	\$1,000.00 Per Certification 每份證明書\$1,000.00	-	-
Fee for Re-issue of Daily & Monthly Statements 補發日結單及月結單費	For Latest 3 Months: Free 最近三個月:免費 For Over 3 Months:\$30.00 三個月以上:\$30.00	-	-
Stock Segregated Account Fee (With CCASS Statement Service) 獨立股份戶口服務費(附寄中央結算系統結單服務)	\$120.00 Per Year 每年\$120.00	-	-

Nominee Services & Corporate Actions 代理人服務及企業行動

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Scrip Fee 股票過戶註冊費	\$2.50 Per Board Lot 每手股票\$2.50	-	-
Collection Fee of Cash / Scrip Dividend 代收現金股息/股票股息費	0.50% of Dividend Receivable 股息總額之0.50%	HK\$30.00	-
Collection Fee of Bonus Share / Rights Share 代收紅股 / 供股權費	Handling Fee: \$30 Per Transaction 手續費: 每宗交易\$30.00	-	-
Fee for Rights Subscription / Excess Rights Application 代客行使供股權/申請額外供股權費	Handling Fee: \$30 Per Transaction 手續費: 每宗交易\$30.00 \$2.00 Per Board Lot 每手股票\$2.00	-	-
Fee for Cash Offer / Privatisation / Auto-Exercise of Covered Warrants and CBBC 代客接受現金收購/私有化建議/備兌認股証及牛熊証自動行使費	1. Handling Fee: \$30.00 Per Transaction 手續費: 每宗交易\$30.00 2. \$2.00 Per Board Lot 每手股票\$2.00 3. Stamp Duty: 0.13% 印花稅: 0.13%	-	-
Fee for Share Consolidation / Subdivision 辦理股份合併/分拆費	Free免費	-	-

Finance & Other Charges 借貸及其他收費

Items 項目	Rate 收費	Minimum Charge 最低收費	Maximum Charge 最高收費
Cash Account Overdue Interest 現金戶口結欠利息	Prime Rate + 10% p.a. 最優惠利率+10%	-	-
Margin Account Overdue Interest 保證金戶口結欠利息	Prime Rate + 10% p.a. 最優惠利率+10%	-	-
CHATS Payment Service Fee 本地電子付款指示收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00 ***Plus Remittance Charges By Bank To Be Deducted from Remittance Amount 另加銀行費用,從匯款中扣除***	-	-
Telegraphic Transfer Service Fee 電匯收費	Handling Fee: \$400.00 Per Transaction 手續費: 每宗交易\$400.00 ***Plus Remittance Charges By Bank To Be Deducted from Remittance Amount 另加銀行費用,從匯款中扣除***	-	-
Returned Cheque Charge 退票收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00	-	-
Stop Payment Charge 取消提款指示收費	Handling Fee: \$150.00 Per Transaction 手續費: 每宗交易\$150.00	-	-
Fee for Dividend Claim 代客追討股息費	Handling Fee: \$500.00 Per Stock 手續費: 每隻股票\$500.00	-	-
EIPO Subscription Service Fee 電子認購新股服務費	Handling Fee: \$8 Per Transaction 手續費: 每宗交易\$8	-	-

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備註: 以上收費僅供參考,本公司可隨時修訂,恕不另行通知。

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